

Approval Date: June 26, 2009
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Parent Policy: [Research and Scholarship Integrity Policy](#)

Research and Scholarship Integrity Policy Appendix A – Adjudicator and Associate Adjudicator Table

Office of Administrative Responsibility:	Provost and Vice-President (Academic)
Approver:	Provost and Vice-President (Academic) and Vice-President (Research)

Note: This table identifies the Adjudicator and Associate Adjudicator that a complaint must be lodged with under the *Research and Scholarship Integrity Policy* and *Research and Scholarship Integrity Enforcement Procedure*. For complete definitions of the various categories of staff, individuals should consult the relevant agreement.

<u>A1.0 Academic Staff in Continuing Appointments funded through Operating or External Funds</u>		
<u>Category of Person</u>	<u>Adjudicator</u>	<u>Associate Adjudicator</u>
A1.1 Faculty	Provost & Vice-President (Academic)	Dean of the Faculty, or the responsible administrator in a unit not housed within a Faculty.
A1.2 Administrative and Professional Officer (APO)	Provost & Vice-President (Academic)	Dean of the Faculty, or the responsible administrator in a unit not housed within a Faculty.
A1.3 Faculty Service Officer (FSO)	Provost & Vice-President (Academic)	Dean of the Faculty, or the responsible administrator in a unit not housed within a Faculty.
A1.4 Librarian	Provost & Vice-President (Academic)	Chief Librarian
A1.5 Staff in categories A1.1 – A1.4 with a part-time appointment	Provost & Vice-President (Academic)	As above depending on the category
A1.6 Staff in categories A1.1 – A1.4 where the continuing nature of the appointment is explicitly contingent on the receipt of funds from an external source	Provost & Vice-President (Academic)	As above depending on the category
A1.7 Staff in category A1.6 with a part-time appointment	Provost & Vice-President (Academic)	As above depending on the category
<u>A2.0 Academic Staff in Temporary Funded Appointments Through Operating Funds</u>		
<u>Category of Person</u>	<u>Adjudicator</u>	<u>Associate Adjudicator</u>
A2.1 Contract Academic Staff: Teaching (full-time instructional appointment)	Provost & Vice-President (Academic)	Dean of the Faculty, or the responsible administrator in a unit not housed within a Faculty.
A2.2 Contract Academic Staff: Teaching (part-	Provost & Vice-President (Academic)	Dean of the Faculty, or the responsible administrator in a unit not housed within a

time instructional appointment)		Faculty.
A2.3 Sessionals and Other Temporary Staff (full-time appointment as either an APO or Librarian)	Provost & Vice-President (Academic)	Dean of the Faculty, or the responsible administrator in a unit not housed within a Faculty.
A2.4 Sessionals and Other Temporary Staff (part-time appointment as either an APO or Librarian)	Provost & Vice-President (Academic)	Dean of the Faculty, or the responsible administrator in a unit not housed within a Faculty.

A3.0 Academic Staff in Temporary Appointments Funded Through Restricted Funds

<u>Category of Person</u>	<u>Adjudicator</u>	<u>Associate Adjudicator</u>
A3.1 Teaching and Research Academic (full-time or part-time academic who teaches and/or has other related activities such as clinical duties or externally funded independent research)	Provost & Vice-President (Academic)	Dean of the Faculty, or the responsible administrator in a unit not housed within a Faculty.
A3.2 Research Academic (full-time or part-time academic who carries out or supports complex research projects with faculty members or other researchers.	Provost & Vice-President (Academic)	Dean of the Faculty, or the responsible administrator in a unit not housed within a Faculty.
A3.3 Trust Administrator (full-time or part-time staff with administrative professional duties)	Provost & Vice-President (Academic)	Dean of the Faculty, or the responsible administrator in a unit not housed within a Faculty.
A3.4 Library/Information Professional (full-time or part-time staff member with a degree from an accredited library or information studies program who holds a position outside the Librarians Agreement)	Provost & Vice-President (Academic)	Dean of the Faculty, or the responsible administrator in a unit not housed within a Faculty.

B Categories of Excluded Academic Staff

<u>Category of Person</u>	<u>Adjudicator</u>	<u>Associate Adjudicator</u>
B1.0 Staff in categories A2.1 - A2.4 who are excluded from the relevant agreement (See Appendix A of each agreement)	Provost & Vice-President (Academic)	Dean of the Faculty, or the responsible administrator in a unit not housed within a Faculty.
B1.1 Staff in categories A3.1 – A3.4 who are	Provost & Vice-President (Academic)	Dean of the Faculty, or the responsible administrator in a unit not housed within a

excluded from the relevant agreement (See Appendix A of each agreement)		Faculty.
B1.2 Casual staff (Individuals not employed under a Collective Agreement)	Provost & Vice-President (Academic)	Dean of the Faculty, or the responsible administrator in a unit not housed within a Faculty.
<u>C Categories of Academic Colleagues</u> – Defined by Administration but not designated by the Board		
<u>Category of Person</u>	<u>Adjudicator</u>	<u>Associate Adjudicator</u>
C1.1 Special “Continuing” (individuals in the Faculty of Medicine and Dentistry who do not receive compensation from the University but who have the same duties as Faculty and are evaluated by a Faculty Evaluation Committee)	Provost & Vice-President (Academic)	Dean of the Faculty, or the responsible administrator in a unit not housed within a Faculty.
C1.2 Clinical Staff (primarily healthcare professionals who participate in the teaching and/or research activities of a department within the University without remuneration)	Provost & Vice-President (Academic)	Dean of the Faculty, or the responsible administrator in a unit not housed within a Faculty.
C1.3 Adjunct Staff (individuals with substantial professional experience who participate in the teaching and/or research activities of a department within the University without remuneration)	Provost & Vice-President (Academic)	Dean of the Faculty, or the responsible administrator in a unit not housed within a Faculty.
C1.4 Academic Affiliates (temporary secondments from an external organization to work at the University on a full-time or part-time basis who have the same duties as Faculty. The terms and conditions of the secondment are contained in the secondment agreement)	Provost & Vice-President (Academic)	Dean of the Faculty, or the responsible administrator in a unit not housed within a Faculty.
<u>Other Researchers Paid Through Operating or Restricted Funds</u>		
<u>Category of Person</u>	<u>Adjudicator</u>	<u>Associate Adjudicator</u>

Postdoctoral Fellows	Vice-President (Research) (or delegate)	Graduate Chair of the department in which the offense allegedly occurred
<u>Undergraduate and Graduate Students</u>		
<u>Category of Person</u>	<u>Adjudicator</u>	<u>Associate Adjudicator</u>
Undergraduate Student (allegation occurred in a course)	See the Code of Student Behaviour. Dean (or delegate) of the Faculty that offers the course in which the Student is alleged to have committed an Inappropriate Academic Behaviour offence.	
Graduate Student (allegation occurred in a course, including capping exercises)	See the Code of Student Behaviour. Dean (or delegate) of the Faculty that offers the course in which the Student is alleged to have committed an Inappropriate Academic Behaviour offence.	
Undergraduate Student (allegation occurred not in a course but in all other cases, including but not limited to offences related to programs of study, graduation, or capping exercises)	See the Code of Student Behaviour. Dean (or delegate) of the Faculty in which the Student is enrolled. In cases where the Student is not currently enrolled, the Associate Provost and Dean of Students shall appoint a Dean to deal with the case.	
Graduate Student (allegation occurred not in a course but in all other cases, including but not limited to offences related to programs of study, graduation, graduate student thesis or capping exercises)	See the Code of Student Behaviour. Dean (or delegate) of the Faculty in which the Student is enrolled. In cases where the Student is not currently enrolled, the Associate Provost and Dean of Students shall appoint a Dean to deal with the case.	
<u>S.1 Support Staff included in the Non-Academic Staff Association (NASA) Agreement</u>		
<u>Category of Person</u>	<u>Adjudicator</u>	<u>Associate Adjudicator</u>
S1.1 General Support Operating Employee	Department Head of the unit in which the accused person is employed	Vice-President (Finance & Administration)
S1.2 General Support Trust Employee	Department Head of the unit in which the accused person is employed	Vice-President (Finance & Administration)
S1.3 English as a Second Language Instructors	Department Head of the unit in which the accused person is employed	Vice-President (Finance & Administration)
<u>S.2 Support Staff Excluded from the Non-Academic Staff Association (NASA) Agreement</u>		
<u>Category of Person</u>	<u>Adjudicator</u>	<u>Associate Adjudicator</u>
S2.0 Support staff who have been excluded from the bargaining unit	Department Head of the unit in which the accused person is employed	Vice-President (Finance & Administration)

under the provisions of Section 21 of the <i>Public Service Employee Relations Act</i>		
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DEFINITIONS

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